

Claims

1 *SLB* In a multicarrier communication system in which a signal to be transmitted comprises
2 data bits to be converted into a symbol modulated by each subcarrier of the signal prior to
3 transmission on a channel, a method for minimizing a peak to average power ratio while
4 minimizing introduction of errors into the signal to be transmitted:
5
6 sampling the symbols to be transmitted of a frame;
7
8 compare magnitudes of the samples of the frame to a predetermined threshold to
9 determine whether sample magnitudes in the frame violate the
10 predetermined threshold, the predetermined threshold being selectable to
11 control the number of samples violating the threshold;
12 responsive to determining a sample magnitude does violate the predetermined
13 threshold, applying a differentiable penalty function to the samples having
14 magnitudes exceeding the predetermined threshold;
15
16 computing a net penalty function value, the net penalty function value responsive
17 to the individual penalty function values computed for the samples having
18 magnitudes exceeding the predetermined threshold;
19
20 computing a gradient vector responsive to the net penalty function value;
21 determining a direction of the gradient vector;
22
23 determining an upper limit correction value for each symbol, the upper limit
24 correction value being selectable to control an amount of signal to noise
25 ratio deterioration;

22 applying a correction to the symbols to be transmitted in a direction opposite to
23 the direction of the gradient vector of a magnitude not exceeding the
24 determined correction values for each symbol; and
transmitting the corrected symbols to the channel.

1 2. The method of claim 1 wherein determining an upper limit correction value for each
2 symbol, the upper limit correction value further comprises:

3 computing an interpoint distance between symbols;
4 selecting a correction value for a symbol as a value less than the interpoint
5 distance to ensure that the symbol is not mistaken for other symbols.

1 3. The method of claim 1 wherein applying a differentiable penalty function to the samples
2 having magnitudes exceeding the predetermined threshold comprises:
3 applying the function:

$$h(x[k]) = \begin{cases} (x[k] - T)^{2m} & \text{if } x[k] \geq T \\ 0 & \text{if } |x[k]| \leq T \\ (x[k] + T)^{2m} & \text{if } x[k] \leq -T \end{cases}$$

6 where m is a positive integer that decides the severity of penalty, T is the
7 predetermined threshold, x is the frame of data symbols expressed by: $X =$
8 $(r_0, r_1 \exp(j\theta_1), r_2 \exp(j\theta_2), \dots, r_{N/2-1} \exp(j\theta_{N/2-1}), r_{N/2})$, where r_i and θ_i denote
9 the magnitude and phase of symbol in channel i, and k is the number of
10 the symbol.

1 4. The method of claim 3 wherein the net penalty function comprises:

2

$$f(x) = \sum_{k=0}^{N-1} h(x[k])$$

1 5. The method of claim 4, wherein the gradient vector is computed as:

$$\frac{\partial f}{\partial r_i} = \sum_{k=0}^{N-1} \frac{dh(x[k])}{dx[k]} \cos\left(\frac{2\pi k i}{N} + \theta_i\right); i \in \{1, \dots, N/2 - 1\}$$

2

$$\frac{\partial f}{\partial r_0} = \sum_{k=0}^{N-1} \frac{dh(x[k])}{dx[k]}, \quad \frac{\partial f}{\partial r_{N/2}} = \sum_{k=0}^{N-1} \frac{dh(x[k])}{dx[k]} \cos(\pi k)$$

$$\frac{\partial f}{\partial \theta_i} = -r_i \sum_{k=0}^{N-1} \frac{dh(x[k])}{dx[k]} \sin\left(\frac{2\pi k i}{N} + \theta_i\right); i \in \{1, \dots, N/2 - 1\}$$

1 6. The method of claim 1 wherein the gradient vector is computed only as a function of the
2 magnitude of the sample values.

1 7. The method of claim 1 wherein computing a net penalty function value comprises adding
2 together the individual penalty function values computed for the samples having magnitudes
3 exceeding the predetermined threshold to generate the net penalty function value.

1 8. In a multicarrier communication system in which a signal to be transmitted comprises
2 data bits to be converted into a symbol modulated by each subcarrier of the signal prior to
3 transmission on a channel, for a signal having a single peak in a frame, a method for minimizing
4 a peak to average power ratio while minimizing introduction of errors into the signal to be
5 transmitted:

6 sampling the symbols to be transmitted of the frame;

7 compare magnitudes of the samples of the frame to a predetermined threshold to

8 determine whether sample magnitudes in the frame violate the

9 predetermined threshold, the predetermined threshold being selectable to
10 control the number of samples violating the threshold;
11 determining an upper limit correction value for each symbol, the upper limit
12 correction value being selectable to control an amount of signal to noise
13 ratio deterioration;
14 computing a peak reduction kernel responsive to the upper limit correction values;
15 responsive to determining a sample magnitude does violate the predetermined
16 threshold, applying the peak reduction kernel to the sample to reduce the
17 peak of the frame; and
18 transmitting the modified symbol.

1 *Subj* 2 The method of claim 8 wherein computing a peak reduction kernel responsive to the
3 upper limit correction values comprises:

4 determining a phase component and an amplitude component of the upper limit
5 correction values; and
6 setting the phase component of the upper limit correction values to zero to ensure
7 that the peak reduction kernel has its peak value at the first sample of the
frame.

1 10. The method of claim 8 wherein applying the peak reduction kernel to the sample to
2 reduce the peak of the sample comprises:
3 rotating the peak kernel by amount to ensure a peak of the peak reduction kernel
4 coincides with a peak of the frame;

5 determining whether the peak of the kernel has a sign equal to a sign of the peak
6 of the frame;
7 responsive to the signs of the peaks of the kernel and the frame being equal,
8 multiplying the peak of the frame by minus one; and
adding the peak of the kernel to the samples to reduce the peak of the frame.

11. The method of claim 7 in a system in which more than one peak may be present per
frame, comprising the steps of:
responsive to determining that a sample magnitude exceeds the predetermined
threshold, applying the peak kernel to the sample, wherein the peak kernel
applied for each sample has a magnitude scaled relative to an extent the
sample magnitude exceeds the predetermined threshold.

12. The method of claim 11 wherein the scaling factors are chosen to ensure a sum of the
magnitudes of the kernels applied is equal to one.